

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
-----------------	-------------	----------------------	---------------------

08/813,714 03/07/97 SIEFERT

D 6002.03

LM02/1027

EXAMINER

ANTHONY J. ORLER  
GATES & COOPER, HOWARD HUGES CENTER  
6701 CENTER DRIVE WEST, SUITE 1050  
LOS ANGELES CA 90045

LAO, S.

ART UNIT

PAPER NUMBER

2755

DATE MAILED:

10/27/99

21

**Please find below and/or attached an Office communication concerning this application or proceeding.****Commissioner of Patents and Trademarks**

## Office Action Summary

Application No. 08/813,714	Applicant(s) Siefert
Examiner S. Lao	Group Art Unit 2755



Responsive to communication(s) filed on Aug 4, 1999

This action is FINAL.

Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

### Disposition of Claims

Claim(s) 1-14 is/are pending in the application.

Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

Claim(s) \_\_\_\_\_ is/are allowed.

Claim(s) 1-14 is/are rejected.

Claim(s) \_\_\_\_\_ is/are objected to.

Claims \_\_\_\_\_ are subject to restriction or election requirement.

### Application Papers

See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

The proposed drawing correction, filed on \_\_\_\_\_ is  approved  disapproved.

The specification is objected to by the Examiner.

The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. § 119

Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

All  Some\*  None of the CERTIFIED copies of the priority documents have been received.

received in Application No. (Series Code/Serial Number) \_\_\_\_\_.

received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_.

Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

### Attachment(s)

Notice of References Cited, PTO-892

Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_

Interview Summary, PTO-413

Notice of Draftsperson's Patent Drawing Review, PTO-948

Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

## DETAILED ACTION

1. The request filed on 8/4/1999 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 08/813,714 is acceptable and a CPA has been established. An action on the CPA follows.
2. Claims 1-14 are pending. This action is in response to the amendment filed 7/19/1999. Applicant has amended claims 1, 5, 6, 9.
3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
3. Claims 1-7, 9-10, 12-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ryu *et al* (U. S. Pat. 5,408,608) in view of Oracle (Oracle7 Server Administrator's Guide).

As to claim 5, Ryu teaches resource management system (distributed database system, see abstract), RESOURCEx (distributed database), one or more LOCAL SERVERs (data offering terminals A or B) with means for storing RESOURCEx (stores real data, see abstract; detail, fig. 2A), one or more REGIONAL SERVERs (contents control center) with means for storing PROFILEs of RESOURCEs stored (store control information, content list table 57, fig.s 2A, 11A; content, keyword or commands assigned to each real data, see abstract; contents for A, for B, etc), means for electronically linking the LOCAL and REGIONAL SERVERs to transfer therebetween (network, transmission of contents, step 3, fig.s 2A, 2B), one or more PCS coupled to one or more of the SERVERs (user terminals, data offering terminals A or B, fig. 2A), means for storing PROFILEs of RESOURCEs into one or more of the REGIONAL SERVERs (data offering terminals A or B transmit their contents to register with the contents control center 4a, col. 7, ln.s 55-64; fig. 2A), means for searching all of the PROFILEs in the REGIONAL SERVERs (inquiry,

sent to control center through network, control center extracts the first and second ranks, see fig.s 9F and 10 and col. 18, lines 23-60).

While Ryu teaches storing profiles of resources from a server computer, Ryu does not teach to perform such operations from a PC distinct from the server.

Oracle teaches a resource management system (Oracle database administration), wherein a user (database administrator) may perform resource operations (database server operations / administrations) either locally from a server computer, or remotely from a PC (client computer). The remote server operations are enabled by configuring the Initialization Parameter Files (INIT.ORA) to allow server connection as INTERNAL or OSOPER or OSDBA. See pages 1-4 - 1-5; appendix A-39 - A-40.

Since Ryu and Oracle address resource/database management, it would have been obvious to modify Ryu who performs resource operations locally from a server to include the ability of performing such resource operations remotely from a PC so as to provide better system security (password in a non-secure network, page 1-4).

As to claim 1, note the discussion of claim 5, and further Ryu teaches means for accessing a RESOURCE from any one of the LOCAL SERVERs based on the searched PROFILEs (access, fig. 9F, col. 18, lines 48-68).

As to claims 2-3, Ryu teaches means for storing a downloadable RESOURCE into one or more of the LOCAL SERVERs (other terminal unit returns retrieved data to terminal unit, fig. 9F), means for downloading any of the RESOURCES contained in any of the LOCAL SERVERs into the PC (terminal unit receives real data, fig. 9F, step 6).

As to claim 4, Ryu teaches means for storing a PROFILE which contains information about a user of a SERVER (control information table 55, col. 18, lines 39-44), means for restricting the user's access to RESOURCES based on the information contained in the user's PROFILE (match user ID and password, col. 18, lines 48-60; fig. 5).

As to claim 6, note discussion of claim 5 and Ryu further teaches each of the REGIONAL SERVERs storing a catalog of PROFILES that describe RESOURCES (contents for A, contents for B), means performed by each PC for storing PROFILEs on any of the REGIONAL SERVERs (data offering terminals 1 transmit respective contents

to register with the contents control center 4a, col. 7, ln.s 55-64; fig. 2A), see fig. 2A. As to the PC being distinct from the LOCAL SERVERs, note discussion of claim 5.

As to claim 7, Rye teaches storing keywords in a PROFILE contained in a REGIONAL SERVER (content control table 55, col. 18, lines 39-44; content list table 57, fig.s 2A, 11A; keyword assigned to each real data, see abstract) and search the PROFILEs (control center extracts, col. 18, lines 48-60; fig. 15H), thus searching by Boolean key-words would have been obvious.

As to claim 9, note the discussion of claim 1 and further Ryu teaches allowing a user (user), from a single site (data offering terminal units 1, user terminal unit) to store the PROFILEs (data offering terminal units 1 transmit respective contents to register with the contents control center 4a, col. 7, ln.s 55-64; fig. 2A), see fig.s 2A, 2B, 9B, 9F. As to the PC being distinct from the LOCAL SERVERs, note discussion of claim 5.

As to claims 10, 12, Ryu teaches RESOURCES comprise downloadable data (contents A, contents B, detail of A and B, see fig. 2A), allowing a user to download the downloadable data from one of the LOCAL SERVERs to the user's site (display contents A and B on user terminal unit T3, see fig. 2A), data which is not downloadable (contents in buffer 101 are not output, see col. 10, line 63 - col. 11, line 14, fig. 5).

As to claim 13, Ryu teaches all of the PROFILEs are stored in a single REGIONAL SERVER (temporary center, see fig. 2A).

As to claim 14, using distributed managers / name SERVERs is a well known alternative to a centralized manager / name server for providing better fault tolerance. Applying this concept to the system of Ryu would have been obvious, which would provide multiple collections of the PROFILEs / distributed managers or name SERVERs. Ryu also teaches multiple collections of the PROFILEs (contents of other terminal units stored in a terminal, see fig.s 4 and 5) and each collection contains substantially all of the PROFILEs since the file for self (fig. 5) would be different for each terminal but contents for others would be substantially the same.

4. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryu *et al* in view of Oracle as applied to claim 6 and in view of Terry *et al*.

As to claim 8, Terry teaches a database management system (Tapestry system), including ordering a search to be performed at a future time (continuous queries, scan the incoming record), see abstract; section 1.0; fig.s 1 and 3.

Both Ryu and Terry deal with improving database efficiency, it would have been obvious to combine the teachings. Accordingly, it would have been obvious to apply the teaching of Terry to the search of PROFILEs of Ryu.

5. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryu *et al* in view of Oracle as applied to claim 10 and in view of Dworkin.

As to claim 11, Dworkin teaches a database management system (electronic mall), wherein the RESOURCES include physical objects (hardware products, fig. 4).

It would have been obvious to apply the teaching of Dworkin to the system of Ryu so as to allow user to determine the best price available (col. 1, ln.s 53-60).

6. Applicant's arguments filed 7/19/1999 have been considered but are moot in view of the new ground(s) of rejection.

Reference to Oracle is cited to teach that a user (database administrator) may perform database server operations remotely from a PC (client computer), in addition to locally from a server. This remote login and administration capability is provided by configuring Initialization Parameter Files (INIT.ORA) to allow the DBA to connect over a network as INTERNAL or OSOPER or OSDBA. Since Ryu performs resource/database server operations locally from a server, the combination of Ryu and Oracle would allow such operations to be performed remotely from a PC. See discussion of claim 5.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sue Lao whose telephone number is (703) 305-9657. The fax number for this Group is (703) 305-9731.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Sue Lao

October 18, 1999



ALVIN E. OBERLEY  
SUPERVISORY PATENT EXAMINER  
GROUP 2700